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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Navin K. Sinha

Docket No. JP920010012US1

Serial No. 09/870,087

Group Art Unit: 2122

Filed: May 30, 2001

Examiner:

Confirmation No. 6782

Date: October 30, 2001

For: CODE OPTIMIZATION

Commissioner for Patents
Washington, D.C. 20231

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SUBMISSION OF FORMAL DRAWINGS

Sir:

Submitted herewith are three (3) sheets of Formal Drawings for filing in the above-indentified application.

Respectfully submitted,

Manny W. Schecter
Reg. No. 31,722
Telephone: (914) 945-3252

IBM CORPORATION
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598
Fax: 914-945-3281



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

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Sir:

Attached is a copy of the official filing receipt from the PTO in the above mentioned patent application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in:

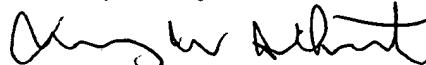
- Applicant's name and/or address.
- Title
- Filing Date
- Serial Number
- Atty. Docket No.

in that it should read as follows:

"Sinha Navin Kumar" should read -- Navin Kumar Sinha.

Since this error was due on the part of the Applicant, please charge any fee to our Deposit Account No. 50-0629.

Respectfully submitted,


Manny W. Schecter
Telephone: 914-945-3252
Registration No. 31,722

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NEW YORK 10598
Fax No. 914-945-3281

Dated: October 30, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILED DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|------------|--------------|---------------|----------------|----------|------------|------------|
| 09/870,087 | 05/30/2001 | 2122 | 790 | JP920010012US1 | 3 | 20 | 4 |

CONFIRMATION NO. 6782

FILING RECEIPT



OC000000006369398

Stephen C. Kaufman
 IBM Corporation
 Route 134 Kitchawa Road
 Box 218
 Yorktown Heights, NY 10598

Date Mailed: 08/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) NAVIN KUMAR SINHA
Sinha Navin Kumar, Bangalore, INDIA;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/31/2001

Projected Publication Date: 12/05/2002

Non-Publication Request: No

Early Publication Request: No

Title

Code optimization

Preliminary Class

2001-08-14 PM12:04
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Data entry by : LE, HANH

Team : OIPE

Date: 08/09/2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231



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For: CODE OPTIMIZATION

Commissioner for Patents
Assignment Division
Washington, D.C. 20231

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**REQUEST FOR CORRECTED NOTICE OF
RECORDATION OF ASSIGNMENT DOCUMENT**

Sir:

Please issue a Corrected Notice of Recordation of Assignment Document which changes the inventor's name from "Sinha Navin Kumar" to "Navin Kumar Sinha". A marked-up copy of the first page of the Notice of Recordation of Assignment Document, a copy of the Recordation Form Cover Sheet and a copy of the Assignment Document are enclosed.

Please charge any fees to my Deposit Account No. 50-0629.

Respectfully submitted,

Manny W. Schecter
Registration No. 31,722
914-945-3252

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NEW YORK 10598

Dated: October 30, 2001



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JC07 Rec'd PCT/PTO 08 JAN 2002

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Bib Data Sheet

CONFIRMATION NO. 6782

| | | | | |
|-----------------------------|-----------------------------------|--------------|------------------------|--|
| SERIAL NUMBER 09/870,087 | FILING DATE 05/30/2001 RULE | CLASS 717 | GROUP ART UNIT 2122 | ATTORNEY DOCKET NO. JP920010012US1 |
|-----------------------------|-----------------------------------|--------------|------------------------|--|

APPLICANTS

Navin Kumar Sinha, Bangalore, INDIA;

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 07/31/2001

| | | | | | |
|---------------------------------|---|------------------------------|------------------------|-----------------------|----------------------------|
| Foreign Priority claimed | <input type="checkbox"/> yes <input type="checkbox"/> no | STATE OR COUNTRY INDIA | SHEETS DRAWING 3 | TOTAL CLAIMS 20 | INDEPENDENT CLAIMS 4 |
| 35 USC 119 (a-d) conditions met | <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | | |
| Verified and Acknowledged | Examiner's Signature Initials | | | | |

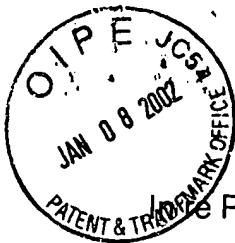
ADDRESS

Stephen C. Kaufman
 IBM Corporation
 Route 134 Kitchawa Road
 Box 218
 Yorktown Heights ,NY 10598

TITLE

Code optimization

| | | |
|-------------------------------|---|---|
| FILING FEE RECEIVED 790 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
|-------------------------------|---|---|



JC07 R 08 JAN 2002
Receipt

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Patent Application of: Navin K. Sinha

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JAN 24 2002

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Request for Corrected Filing Receipt (inventor name correction)
3. Marked up copy of original Official Filing Receipt
4. Request for Corrected Assignment Recordation (inventor name)
5. Marked up copy of original Notice of Recordation of Assignment
6. Submission of (3) Formal Drawings

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents
Washington, D.C. 20231*

on October 30, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920010012US1